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## DERIVATIONS AND POLYNOMIAL RINGS

Let  $d: R \rightarrow R$  be a derivation, where R is a commutative ring with identity. Then d will be called locally nilpotent if for every  $r \in R$  there exists n such that  $d^{n}(r) = 0$ .

This paper contains two parts. In the first part, we prove (Theorem 2.11) that if R has locally nilpotent derivations and satisfies additional assumptions, then R is a polynomial ring. We also prove some properties of locally nilpotent derivations. In the second part, we study the ring of constants for some derivations of a polynomial ring over an arbitrary field K.

We prove the following theorem (Theorem 3.21):

Let  $R = K[x_1, x_2, \dots, x_n]$ , where char K = 0. If  $d: R \rightarrow R$ is a derivation with d(K) = 0,  $d \neq 0$ ,  $d(x_1) \in K$ , for  $i=1,2,\dots,n$ , then C(R,d) is a polynomial ring (over K) in n-1 variables.

We also give other examples of rings of constants. At the end of this paper, we propose two problems.

<u>1. Preliminary notions.</u> All rings are commutative and have identity. A differential ring is a pair (R,d), where R is a ring and  $d:R \rightarrow R$  is a mapping. called derivation, satisfying the conditions: 1) d(a+b) = d(a) + d(b), 2) d(ab) = ad(b) + d(a)b, for arbitrary

a,bER.

If  $d_1$  and  $d_2$  are derivations of R, then  $d_1+d_2$  is a derivation of R.

If d is a derivation of R and  $r \in R$ , then rd is a derivation of R.

Immediately from the definition we get:

(1) d(1) = 0

(2) 
$$d(a^{n}) = na^{n-1}d(a)$$

(3) 
$$d^{n}(ab) = \sum_{k=0}^{n} {\binom{n}{k}} d^{k}(a) d^{n-k}(b)$$

The formula (3) is known as Leibnitz formula (see [3] ).

Let (R,d) be a differential ring and let  $S=R[x_1, x_2, \dots, x_n]$ be a polynomial ring over R. The derivation d can be extended to S by setting for  $d(x_1)$ ,  $d(x_2)$ ,  $d(x_3)$ ,..., $d(x_n)$  arbitrary polynomials belonging to S (see [1]).

If d:S  $\longrightarrow$  S is such derivation of S with d(R)=0, then for any f  $\in$  S, we have: (4) d(f)=  $\sum_{n=1}^{n} d(x_k)$  (see [1]). If R contains the field Q of rational numbers, then a differential ring (R,d) is called a Ritt algebra ([2]). We denote by C(R,d) the set of all elements  $r \in R$  such that d(r)= 0. Then C(R,d) is a subring of R called the ring of constants of the differential ring (R,d). If R is a field, then C(R,d) is a subfield of R called the subfield of constants. If (R,d), (R<sub>1</sub>,d<sub>1</sub>) are differential rings, then a ring homomorphism f:R  $\longrightarrow$  R<sub>1</sub> (of rings with identity) is called a <u>differential homomorphism</u> if the diagram



If in addition we assume that  $f: \mathbb{R} \longrightarrow \mathbb{R}_1$  is a ring isomorphism, then we say that f is a <u>differential isomorphism</u>.

LEMMA 1.1 Let (R,d),  $(R_1,d_1)$  be differential rings and let  $f:R \longrightarrow R_1$  be a homomorphism of rings, not necessary a differential homomorphism. Then  $A = \{r \in R, fd(r) = d_1 f(r)\}$ is a subring of R.

PROOF:  $1 \in A$  since  $fd(1) = f(0) = 0 = d_1(1) = d_1f(1)$ . If a, b  $\in A$ , then  $fd(a-b) = fd(a) - fd(b) = d_1f(a) - d_1f(b) = d_1f(a-b)$ , so  $a-b \in A$  and  $fd(ab) = f(ad(b) + d(a)b) = d_1f(a-b) =$ 

$$= f(a) f(d(b)) + f(d(a))f(b) = f(a)d(f(b)) + d(f(a)) f(b) =$$
  
= d(f(a)f(b)) = d(ab), so ab  $\in A$ .

LEMMA 1.2. Let (R,d),  $(R_1,d_1)$  be differential rings and let  $f:R \longrightarrow R_1$  be a ring homomorphism. Moreover, let R be an  $R_0$ -algebra and R is generated over  $R_0$  by T. If  $fd(a) = d_1 f(a)$ , for  $a \in R_0 \cup T$ , then f is a homomorphism of differential rings.

PROOF: Let  $A = \{r \in R, fd(r) = d_{1}f(r)\}$ . Since  $R_{0} \leq A$  and  $T \leq A$ , by Lemma 1.1  $R = R_{0}[T] \leq A$ .

LEMMA 1.3. If  $f:(R,d) \longrightarrow (R_1,d_1)$  is a differential isomorphism, then the rings C(R,d) and  $C(R_1,d_1)$  are isomorphic.

Proof is obvious.

2. Locally nilpotent derivations. If (R,d) is a differential ring and n is natural, then by  $C_n(R,d)$  we will denote the set  $\{x \in R, d^n(x)=0\}$ 

The sets  $C_n(R,d)$  are subgroups of the additive group of R. The following properties are obvious:

(1) 
$$C(R,d) = C(R,d)$$

(2) 
$$C_1(R,d) \leq C_2(R,d) \leq C_2(R,d) \leq \cdots$$

THEOREM 2.1. Let (R,d) be a Ritt algebra without nilpotent elements. If  $C_n(R,d) = R$ , for some n, then d = 0

PROOF: Let  $d^n = 0$  and  $d^{n-1} \neq 0$ , for some  $n \ge 2$ . Hence, there exists an element a of R such that  $d^{n-1}(a) \neq 0$ . Consider the derivation  $d:R[[t]] \longrightarrow R[[t]]$ , (R[[t]]] is the formal power series ring) which is defined by the formula:  $\overline{d}(\sum_{k=0}^{\infty} r_k t^k) = \sum_{k=0}^{\infty} d(r_k) t^k$  and consider the automorphism  $e:R[[t]] \longrightarrow R[[t]]$  defined by  $e(a) = a + \frac{\overline{d}(a)}{11} t + \frac{\overline{d}^2(a)}{21} t^2 + t + \frac{\overline{d}^3(a)}{31} t^3 + \dots$  (see [2]). For every  $r \in R$ , we have:  $e(r) = r + \frac{d(r)}{1!} t + \frac{d^2(r)}{2!} t^2 + \dots + \frac{d^{n-1}(r)}{(n-1)!} t^{n-1}$ Since  $e(a^n) = e(a)^n$ , we get

$$a^{n} + \frac{d(a^{n})}{1!} t + \dots + \frac{d^{n-1}(a^{n})}{2!} t^{n-1} =$$

$$= (a + \frac{d(a)}{1!} t + \frac{d^{2}(a)}{2!} t^{2} + \dots + \frac{d^{n-1}(a)}{(n-1)!} t^{n-1})^{n}$$

If we compare coefficients at  $t^{n(n-1)}$ , we get  $\left[\frac{d^{n-1}(a)}{(n-1)!}\right]^n = 0$ . Hence, because R is Q-algebra without nilpotents, we have  $d^{n-1}(a) = 0$ 

This contradicts to  $d^{n-1}(a) \neq 0$ . For a differential ring (R,d) we define  $E(R,d) = \bigcup_{n=1}^{\infty} C_n(R,d)$ 

PROPOSITION 2.2. E(R,d) is a subring of R.

PROOF: Let E = E(R,d). Since d(1) = 0,  $1 \in E$ . Let  $x, y \in E$ . We shall show that  $x-y \in E$  and  $xy \in E$ . If  $d^{n}(x) = 0$ ,  $d^{m}(y) = 0$ and  $k = \max(n,m)$  then  $d^{k}(x-y) = d^{k}(x) - d^{k}(y) = 0$ , so  $x - y \in E$ . Further,  $d^{n+m}(xy) = \sum_{i=0}^{n+m} {n+m \choose i} d^{i}(x) d^{n+m-i}(y) = 0$ , because is of  $i = 0, 1, \dots, n$ ,  $d^{n+m-i}(y) = 0$ , and for  $i=n+1, n+2, \dots$ , n+m,  $d^{i}(x) = 0$ . Finally  $xy \in E$ .

LEMMA 2.3. If there exists n such that  $C_n(R,d) = C_{n+1}(R,d)$ , then  $E(R,d) = C_n(R,d)$ .

PROOF: Let  $C_m = C_m(R,d)$ , for m=1,2,... We prove (by induction on s) that for any natural s we have  $C_{n+s} = C_n$ . Let  $x \in C_{n+s}$ . Then  $0 = d^{n+s}(x) = d^{n+s-1}(d(x))$ , so  $d(x) \in C_{n+s-1}$ and by induction  $d(x) \in C_n$ . Hence  $0 = d^n(d(x)) = d^{n+1}(x)$ , and  $x \in C_{n+1} = C_n$ 

DEFINITION 2.4. A derivation  $d:\mathbb{R} \longrightarrow \mathbb{R}$  is said to be locally nilpotent iff  $E(\mathbb{R},d)=\mathbb{R}$ . In other words, a derivation d is locally nilpotent iff for any  $r \in \mathbb{R}$ , there exists n such that  $d^{n}(r)=0$ 

EXAMPLE 2.5. Let C[x] be a polynomial ring in one variable x, with coefficients in a ring C. If  $d:C[x] \longrightarrow C[x]$ is such derivation that d(C)=0, d(x)=1, then d is locally nilpotent. Indeed, for  $f \in C[x]$  we have  $d^{n+1}(f)=0$  where  $n = \deg f$ .

In some cases, we can prove the theorem inverse to the

result given in Example 2.5.

THEOREM 2.6. Let d be a locally nilpotent derivation of a Q-algebra R. If there exists an element  $x \in R$  such that d(x) = 1, then R is isomorphic to the polynomial ring C[t], where C = C(R,d). Precisely, there exists a differential isomorphism  $\Psi:(C[t], \frac{\partial}{\partial t}) \longrightarrow (R,d)$ .

PROOF: Let C = C(R,d) and let  $\varphi: C[t] \longrightarrow R$  be a ring homomorphism such that  $\varphi(t) = x$ ,  $\varphi|_C = 1_C$ . Since  $d \varphi(t) = d(x) = 1 = \frac{2}{2t} (t) = \varphi \frac{2}{2t} (t)$ , by Lemma 1.2, we have that  $\varphi$  is a differential homomorphism from  $(C[t], \frac{2}{2t})$  to (R,d). We show that  $\varphi$  is injective. Let  $w = c_n t^n + \dots + c_1 t + c_0$ , be an element of C[t] with  $\varphi(w) = 0$ . We get  $0 = \varphi(c_n t^n + \dots + c_0) = c_n x^n + \dots + c_0$ , and next  $0 = d^n(c_n x^n + \dots + c_0) = n!c_n$ . Since R is a Q-algebra, the equality  $n!c_n = 0$  implies  $c_n = 0$ . In a similar manner, we get  $c_n = c_{n-4} = \dots = c_1 = c_0$ , hence w = 0. Now we show that  $\varphi$  is surjective. Let  $r \in R$ . Since R = E(R,d), there is n such that  $r \in C_n(R,d)$ . By induction on n we prove that  $r \in Im \varphi$ .

a) If n = 1, then d(r) = 0, and  $r = \varphi(r)$ .

b) Suppose  $d^{n}(r)=0$ . Then  $d(r) \in C_{n-1}(R,d)$ , and by induction  $d(r)=\varphi(w)$ , where  $w \in C[t]$ . Suppose  $w = c, t^{k} + \dots + c, t + c_{0}$ . Put  $u = \frac{k}{k+1} \cdot t^{k+1} + \frac{c}{k} \frac{k-1}{k} \cdot t^{k} + \dots + \frac{c}{10} \cdot t$ . Then  $u \in C[t]$  and  $\frac{c}{0t} u = w$ . Since  $d(r - \varphi(u)) = d(r) - d(\varphi(u)) = \varphi(w) - \varphi_{\frac{1}{2}}(u) = \varphi(w) - \varphi_{\frac{1}{2}}(u) = \varphi(w) - \varphi(w) = 0$ , we get  $r - \varphi(u) = c \in C$ , and hence  $r - \varphi(u) = \varphi(c)$ . Finally  $r = \varphi(u + c)$ , where  $u + c \in C[t]$ , that means  $r \in Im Q$ .

REMARK. A different proof can be found (but for domains) in [4]. Now we give some generalizations of Theorem 2.6.

COROLLARY 2.7. Let  $d_1, d_2, \dots, d_n$  be commutative locally nilpotent derivations in a Q-algebra R. If in R there exist elements  $x_1, x_2, \dots, x_n$  such that  $d_1(x_j) = \begin{cases} 0 & \text{if } i \neq j \\ 1 & \text{if } i = j \end{cases}$ , then R is isomorphic to the polynomial ring  $C[t_1, t_2, \dots, t_n]$ , where  $C = \{r \in R, 0 = d_1(r) = d_2(r) = \dots = d_n(r)\}$ . PROOF. Induction on n. For n = 1, the corollary coincides with Theorem 2.6. Suppose n>1, and put  $\overline{C} = \{r \in R, d_2(r) = \dots = d_n(r) = 0\}$ . Now by induction  $R = \overline{C}[t_2, \dots, t_n]$ . Notice that  $d_1(\overline{C}) < \overline{C}$ Indeed, if  $r \in \overline{C}$ , then for  $i = 1, 2, \dots, n$  we get  $d_1 d_1(r) = d_1 d_1(r) = d_1(0) = 0$ . Therefore  $d_1$  is a (locally nilpotent) derivation in the Q-algebra  $\overline{C}$ . Since  $x_1 \in \overline{C}$  and  $d_1(x_1) = 1$ , by Theorem 2.6, it follows that  $\overline{C} \simeq \overline{C}[t_1]$  where  $\overline{C} = \{a \in \overline{C}, d_1(a) = 0\}$ . Notice that  $\overline{C} = C$ . Finally we have :  $R \simeq \overline{C}[t_2, \dots, t_n] \simeq (\overline{C}[t_1])[t_2, \dots, t_n] \simeq \overline{C}[t_1, \dots, t_n]$ The following three lemmas will be needed in further generalizations of Theorem 2.6.

LEMMA 2.8. Let  $d_1$  and  $d_2$  be commutative derivations in a ring R. If  $d_1$  and  $d_2$  are locally nilpotent, then  $d_1 + d_2$  is a locally nilpotent derivation too.

 $d_1 + d_2$  is a locally nilpotent derivation too. PROOF. If  $d_1d_2 = d_2d_1$ , then  $(d_1 + d_2)^n = \sum_{k=0}^n {n \choose k} d_1^k d_2^{n-k}$ Now if for  $r \in \mathbb{R}$  we have  $d_1^n(r) = 0$ ,  $d_2^m(r) = 0$ , then  $(d_1 + d_2)^{n+m}(r) = 0$ .

LEMMA 2.9. If d is a locally nilpotent derivation of R and  $a \in C(R,d)$ , then ad is a locally nilpotent derivation of R.

PROOF. It follows from the formula :  $(ad)^{n}(x) = a^{n}d^{n}(x)$ .

LEMMA 2.10. Let  $d_1, d_2, \dots, d_n$  be derivations in a ring R, and let  $x_1, x_2, \dots, x_n$  be such elements of R that the matrix  $[d_i(x_j)]$  is invertible. Then there exist derivations  $\delta_1, \delta_2, \dots, \delta_n$  of R with  $\delta_i(x_j) = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i\neq j \end{cases}$ 

PROOF. Let  $[a_{ij}]$  be the matrix over R such that  $[a_{ij}][d_{ij}(x)] = I$ , where I is the identity. Take  $\delta_i = a_{i1}d_1 + a_{i2}d_2 + \cdots + a_{in}d_n$ , for  $i=1,2,\ldots,n$ . Then  $\delta_1, \delta_2, \cdots, \delta_n$  are derivations of R such that  $\delta_1(x_j) = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i\neq j \end{cases}$ . THEOREM 2.11. Let  $d_1, d_2, \ldots, d_n$  be commutative locally nilpotent derivations of a Q-algebra R and let  $x_1, x_2, x_3, \ldots, x_n$  be such elements in R that the matrix  $A = [d_1(x_j)]$  is invertible. Moreover, let  $C = \{r \in R, 0 = d_1(r) = d_2(r) = \ldots = d_n(r)\}$ . If  $A^{-1}$  is the matrix with coefficients in C, then the ring R is isomorphic to a polynomial ring in n variables over a

subring R..

PROOF. By Lemma 2.10, there exist derivations  $\delta_1, \delta_2, \ldots, \delta_n$  such that  $\delta_i(\mathbf{x}_j) = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i\neq j \end{cases}$ . By the construction of these derivations (see the proof of Lemma 2.10), and by Lemmas 2.8, 2.9 it follows that  $\delta_1, \delta_2, \ldots, \delta_n$  are commutative locally nilpotent derivations. The result follows from Corollary 2.7. At the end of this part we note some properties of locally nilpotent derivations.

THEOREM 2.12. Let (R,d) be a Ritt algebra without nilpotent elements. If d is a nonzero derivation which is locally nilpotent, then  $C_1(R,d) \not\subseteq C_2(R,d) \not\subseteq C_3(R,d) \not\in \ldots$ .

PROOF. Suppose that for some integer n,  $C_n(R,d) = C_{n+1}(R,d)$ . Then, by Lemma 2.3, we have  $R = E(R,d) = C_n(R,d)$ . Whence, by Theorem 2.1, we get d = 0.

3. The ring of constants for some derivations in  $K[x_1, x_2, ..., x_n]$ Now we shall describe the ring C(R,d) in the case  $R = K[x_1, ..., x_n]$ , where K is a field of characteristic zero, and d is a derivation of R with d(K) = 0,  $d(x_1) \succeq K$ , for i=1,2,...,n.

LEMMA 3.1. If R is a Q-algebra without zero divisors, and  $d:R[x] \longrightarrow R[x]$  is a derivation with d(R)=0,  $d(x)\neq 0$ , then C(R[x],d)=R.

**PROOF.** Let C = C(R[x], d). Evidently  $R \leq C$ . Suppose  $f \in C \setminus R$ . Then deg  $f = n \geq 1$ . If  $f = a_n x^n + \cdots + a_0$ , where  $a_n \neq 0$ , then  $0 = d(f) = (na_n x^{n-1} + \cdots + a_0)d(x)$ . Hence it follows  $na_n = 0$ , and  $a_n = 0$ . This contradiction proves the Lemma.

THEOREM 3.2. Let K be a field of characteristic zero, and let  $R = K[x_1, \dots, x_n]$ . If d:R  $\longrightarrow R$  is a derivation such that :

- a) d(K) = 0b)  $d \neq 0$
- c)  $d(x_1) \in K$ , for i = 1, 2, ..., n

then C(R,d) is a polynomial ring over K in n-1 variables. PROOF. The case 1. Let  $d(x_1) = 1$ ,  $d(x_2) = \cdots = d(x_n) = 0$ . Let  $S = K[x_2, x_3, \cdots, x_n]$ . Then  $R = S[x_1]$ , d(S) = 0 and by Lemma 3.1 we have  $C(R,d) = S = K[x_2, x_3, \cdots, x_n]$ .

<u>The case 2</u>. Let  $d(x_1) = \cdots = d(x_s) = 1$ ,  $d(x_{s+1}) = \cdots = d(x_n) = 0$  where  $1 \le s \le n$ . Consider a ring isomorphism  $Y: K[y_1, \dots, y_n] \longrightarrow K[x_1, \dots, x_n]$  such that :

$$\begin{aligned} \varphi(\mathbf{y}_1) &= \mathbf{x}_1 \\ \varphi(\mathbf{y}_2) &= \mathbf{x}_1 - \mathbf{x}_2 \\ \varphi(\mathbf{y}_s) &= \mathbf{x}_1 - \mathbf{x}_s \\ \varphi(\mathbf{y}_{s+1}) &= \mathbf{x}_{s+1} \\ \varphi(\mathbf{y}_n) &= \mathbf{x}_n \end{aligned}$$

Let  $\delta: K[y_1, \dots, y_n] \longrightarrow K[y_1, \dots, y_n]$  be a derivation such that  $\delta(K) = 0$ ,  $\delta(y_1) = 1$ ,  $\delta(y_2) = \dots = \delta(y_n) = 0$ . It is easy to verify that  $d\varphi(y_1) = \varphi \delta(y_1)$ , for  $i = 1, 2, \dots, n$ . By Lemma 1.2  $\varphi$  is a differential isomorphism. Therefore C(R,d) is isomorphic to  $C(K[y_1, \dots, y_n], \delta)$ , and from the case 1 we get that C(R,d) is isomorphic to  $K[y_2, \dots, y_n]$ .

The case 3. (the general situation). Since  $d\neq 0$ , there is  $i \in \{1, 2, ..., n\}$  such that  $d(x_i) \neq 0$ . Let  $d(x_i) = 1$ . Without loss of generality we can assume that  $a_i \neq 0$ , for i=1,2,...,s and  $a_i = 0$ , for i=s+1,...,n. Consider a ring isomorphism Q:  $K[y_1,...,y_n] \longrightarrow K[x_1,...,x_n]$ which is defined by the following formulas :

 $\varphi(y_i) = a_i^{-1} x_i$ , if i=1,2,...,s $q'(\mathbf{y}_{+}) = \mathbf{x}_{+}$ , if  $j=s+1,\ldots,n$ Let  $\delta$  be a derivation in  $K[y_1, \dots, y_n]$  such that  $\delta(K) = 0$ ,  $\delta(\mathbf{y}_{\mathbf{x}}) = \dots = \delta(\mathbf{y}_{\mathbf{x}}) = 1$  and  $\delta(\mathbf{y}_{\mathbf{x}+1}) = \dots = \delta(\mathbf{y}_{\mathbf{x}}) = 0$ . Notice that  $d\Psi(y_i) = \Psi \delta(y_i)$ , for i=1,...,n Indeed, if i=1,2,...,s, then  $d\varphi(y_i) = d(a_i^{-1}x_i) = a_i^{-1} d(x_i) =$  $= a_{1}^{-1}a_{2} = 1$  $\varphi \delta(\mathbf{y}_{i}) = \varphi(1) = 1$ if j=s+1,...,n , then  $d \varphi(\mathbf{y}_{i}) = d(\mathbf{x}_{i}) = \mathbf{a}_{i} = 0$  $\psi \delta(\mathbf{y}_i) = \Psi(\mathbf{0}) = \mathbf{0}.$ 

By Lamma 1,2 4 is a differential isomorphism. Thus by Lemma 1.3, we get  $C(R,d) \approx C(K[y_1,\ldots,y_n], \delta)$ , and the case 2, we get that C(R,d) is isomorphic to  $K[y_2, \ldots, y_n]$ . This ends the proof.

Now we give some remarks on the C(R,d) in the case  $R = K[x_1, \dots, x_n]$  and if d is a derivation of R such that d(K) = 0 and  $d(x_1) \notin K$ , for some i.

PROPOSITION 3.3. Let  $R = K[x_1, \dots, x_n]$  be a polynomial ring over a field K of characteristic zero. If d:R --- R is a derivation such that d(K) = 0 and  $d(x_i) = x_i$ , for i=1,2,...,n, then C(R,d) = K.

PROOF. If  $u=x_1^{i_1} \cdots x_n^{i_n}$ , where  $i_1, i_2, \cdots, i_n \ge 0$ , then  $d(u) = (i_{1} + ... i_{n})u$ Assume that  $F = \sum_{i_1,\ldots,i_n} x_{i_1}^{i_1} \ldots x_{n}^{i_n}$  (where  $k_{i_1} \ldots i_n \neq 0$ ), is a polynomial belonging to C(R,d). Then  $0 = d(F) = \sum (i_1 + \cdots + i_n) k_i \qquad x_i^{i_1} \cdots x_n^{i_n}$ , and hence  $i_1 + \cdots + i_n = 0$ , that means  $i_1 = i_2 = \cdots = i_n = 0$ . and finally FEK.

PROPOSITION 3.4. Let  $R = K[x_1, x_2, \dots, x_n]$  be a polynomial ring over a field K of characteristic p>0 and let d:R -->R be a derivation with d(K) = 0,  $d(x_1) = x_1$ , for i=1,2,...,n. Let  $F = \sum k_1, ..., x_1^{i_1} \cdots x_n^{i_n}$  be an element of R. Then  $F \in C(R,d)$  if and only if  $p \mid (i_1 + \dots + i_n)$ , for all sequences  $(i_1, \dots, i_n)$  such that  $k_1 \neq 0$ . PROOF. Analogous to the proof of Proposition 3.3.

40

PROPOSITION 3.5. Let R = K[x,y], char K = 0 and let  $d: R \longrightarrow R$  be a nonzero derivation such that d(K) = 0, and

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d(x) = Ax + By
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d(y) = Cx + Dy, where A, B, C, D  $\in$  K.

If AD = BC = 0, then the ring C(R,d) is isomorphic to the polynomial ring over K in one variable .

PROOF. Since  $d \neq 0$ , one of A, B, C, D is not zero. Suppose  $A \neq 0$ . Then  $Cx + Dy = A^{-1}(ACx + ADy) = A^{-1}(ACx+BCy) =$  $= A^{-1}C (Ax + By).$ 

Now we have d(x) = Ax + By

d(y) = k (Ax + By), where  $Ax + By \neq 0$ ,  $k \in K$ . Let  $\delta: \mathbb{R} \longrightarrow \mathbb{R}$  be a derivation such that  $\delta(\mathbb{K}) = 0$ ,  $\delta(\mathbf{x}) = 1$ ,  $\mathcal{S}(\mathbf{y}) = \mathbf{k}$ . Then  $C(R,d) = C(R, (A\mathbf{x} + B\mathbf{y})\mathcal{S}) = C(R, \mathcal{S}) \approx K[t]$ , where the last isomorphism we get by Theorem 3.2. A description of a ring of constants for derivations in a polynomial ring is a difficult problem. It is complicated, even in the case of two variables. Consider the examples below:

EXAMPLE 3.6. Let R = K[x,y], char K = 0 and d:  $R \rightarrow R$ be a derivation

1) If d(x) = y, d(y) = -x, then  $C(R,d) = K[x^2 + y^2]$ .

2) If d(x) = x + y, d(y) = x, then C(R,d) = K.

3) If d(x) = x + n(n + 1)y, d(y) = x, then the element

 $(x - (n+1)y)^{n+1}(x+ny)^n$  belongs to C(R,d)4) If d(x) = xy,  $d(y) = -x^2 - y^2$ , then  $x^4 + 2x^2y^2 \in C(R,d)$ . 5) If  $d(x) = 3x^2y - 1$ ,  $d(y) = -4xy^2$ , then  $y(x^2y - 1)^2 \in C(R, d)$ . Now we propose two problems.

PROBLEM 1. Let R = K[x, y], char K = 0 and let  $d: R \rightarrow R$  be such a derivation that d(K) = 0, d(x) = Ax + By

d(y) = Cx + Dy, where A, B, C, D  $\in$  K.

a) Is C(R,d)a Noetherian ring ?

b) Is C(R,d)a finitely generated K-algebra ?

- c) Find necessary and sufficient conditions for C(R,d) = K.
- d) Find necessary and sufficient conditions for  $C(R,d) \approx K[t]$ .
- **PROBLEM 2.** Let d be a nonzero derivation in K[x,y] such

that d(K) = 0. Is there a situation for which  $C(R,d) \neq K$  and  $U(R,d) \neq K$  [t]?

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DERYWACJE A PIERSCIENIE WIELOMIANÓW

## Streszczenie

Derywację d:R  $\rightarrow$  R nazywamy lokalnie nilpotentną wtedy i tylko wtedy, gdy d<sup>n</sup>(r)= 0, dla pewnego n  $\in$  N oraz dla każdego r $\in$  R (R - pierścień przemienny).W pracy rozpatrujemy szereg własności derywacji lokalnie nilpotentnych. Dowodzimy między innymi (Tw. 2.11), że jeżeli R posiada derywacje lokalnie nilpotentne oraz spełnia dodatkowe założenia, to R jest pierścieniem wielomianów nad pewnym ciałem K.

W drugiej części pracy zajmujemy się badaniem pierściem stałych dla pewnych derywacji pierścieni wielomianów nad ciałem. Artykuł kończymy sformułowaniem dwóch otwartych problemów.